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PTO/SB/30 (04-07)

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Request  
for  
Continued Examination (RCE)  
Transmittal

Address to:  
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Commissioner for Patents  
P.O. Box 1450  
Alexandria, VA 22313-1450

Application Number	10/064,783
Filing Date	August 16, 2002
First Named Inventor	LEDERMAN, Lawrence
Art Unit	3692
Examiner Name	Subramanian
Attorney Docket Number	02012-40137

**This is a Request for Continued Examination (RCE) under 37 CFR 1.114 of the above-identified application.**

Request for Continued Examination (RCE) practice under 37 CFR 1.114 does not apply to any utility or plant application filed prior to June 8, 1995, or to any design application. See Instruction Sheet for RCEs (not to be submitted to the USPTO) on page 2.

1. **Submission required under 37 CFR 1.114** Note: If the RCE is proper, any previously filed unentered amendments and amendments enclosed with the RCE will be entered in the order in which they were filed unless applicant instructs otherwise. If applicant does not wish to have any previously filed unentered amendment(s) entered, applicant must request non-entry of such amendment(s).

a. ☐ Previously submitted. If a final Office action is outstanding, any amendments filed after the final Office action may be considered as a submission even if this box is not checked.

i. ☐ Consider the arguments in the Appeal Brief or Reply Brief previously filed on \_\_\_\_\_

ii. ☐ Other \_\_\_\_\_

b. ☒ Enclosed

i. ☒ Amendment/Reply

iii. ☐ Information Disclosure Statement (IDS)

ii. ☐ Affidavit(s)/ Declaration(s)

iv. ☐ Other \_\_\_\_\_

2. **Miscellaneous**

a. ☐ Suspension of action on the above-identified application is requested under 37 CFR 1.103(c) for a period of \_\_\_\_\_ months. (Period of suspension shall not exceed 3 months; Fee under 37 CFR 1.17(i) required)

b. ☐ Other \_\_\_\_\_

3. **Fees**

The RCE fee under 37 CFR 1.17(e) is required by 37 CFR 1.114 when the RCE is filed.

The Director is hereby authorized to charge the following fees, any underpayment of fees, or credit any overpayments, to Deposit Account No. 13-3250. I have enclosed a duplicate copy of this sheet.

i. ☒ RCE fee required under 37 CFR 1.17(e) 05/08/2007 MGE BREH1 00000000 10064783

ii. ☐ Extension of time fee (37 CFR 1.136 and 1.17) 01 FC:1801 790.00 OP

iii. ☐ Other \_\_\_\_\_

b. ☒ Check in the amount of \$ 790.00 enclosed

c. ☐ Payment by credit card (Form PTO-2038 enclosed)

**WARNING: Information on this form may become public. Credit card information should not be included on this form. Provide credit card information and authorization on PTO-2038.**

**SIGNATURE OF APPLICANT, ATTORNEY, OR AGENT REQUIRED**

Signature	<i>Michael D. Kurzer</i>	Date	May 4, 2007
Name (Print/Type)	Michael D. Kurzer	Registration No.	57,350

**CERTIFICATE OF MAILING OR TRANSMISSION**

05/08/2007 MGE BREH1 00000000 10064783

I hereby certify that this correspondence is being deposited with the United States Postal Service with sufficient postage as indicated by the meter or postage stamp and is being mailed in an envelope addressed to: Mail Stop RCE, Commissioner for Patents, P. O. Box 1450, Alexandria, VA 22313-1450 or facsimile transmitted to the U.S. Patent and Trademark Office on the date shown below.

Signature	<i>Linda Medina-Rosario</i>	Date	May 4, 2007
Name (Print/Type)	Linda Medina-Rosario		

This collection of information is required by 37 CFR 1.114. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.11 and 1.14. This collection is estimated to take 12 minutes to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Mail Stop RCE, Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

If you need assistance in completing the form, call 1-800-PTO-9199 and select option 2.



RCE and Amendment Filed on May 4, 2007

Docket No.: 02012-40137

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IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Application Serial No. : 10/064,783  
Applicant(s) : Lawrence Lederman  
Filed : August 16, 2002  
TC/A.U. : 3692  
Examiner : Subramanian  
Docket No. : 02012-40137  
For : *SYSTEM AND METHOD FOR MANAGING  
CONCENTRATION OF CORPORATE DEBT*

AMENDMENT AND REQUEST FOR CONTINUED EXAMINATION  
(37 C.F.R. § 1.114)

Mail Stop RCE  
COMMISSIONER FOR PATENTS  
P.O. Box 1450  
Alexandria, VA 22313-1450

Sir:

This Amendment and Request for Continued Examination is submitted further to a Notice of Appeal to the Board of Patent Appeals and Interferences filed on March 7, 2007. Thus, under 37 CFR 1.114(d), Applicants hereby request to withdraw the appeal and to reopen prosecution of the application before the Examiner. Applicants now respond to Examiner's rejections in the Final Office Action mailed on December 8, 2006.

Amendments to the Claims are reflected in the listing of claims, which begins on page 2 of this paper.

Remarks/Arguments begin on page 5 of this paper.